

# Multi-Criteria Adaptive Signal Control Theory and Practice

The Delcan logo features a stylized blue arrow pointing right, followed by the word "Delcan" in a bold, italicized blue font. The final letter "n" is red with horizontal white stripes. The logo is reflected below it.

**Delcan**

*Joseph K. Lam*

**Managing Director**

**Delcan International Corporation**

Adaptive Signal Control Technologies Workshop  
San Juan, Puerto Rico  
August 16, 2012



# Agenda



- Introduction
- Delcan's Multiple-Criteria Adaptive Control
  - Algorithms
  - System Architecture
- York Region Pilot Study Results
- Surrey Implementation
- Conclusion

# Who is Delcan?



Founded in 1953, Delcan is a 750 person multidisciplinary engineering, management and technology consulting firm focused on transportation

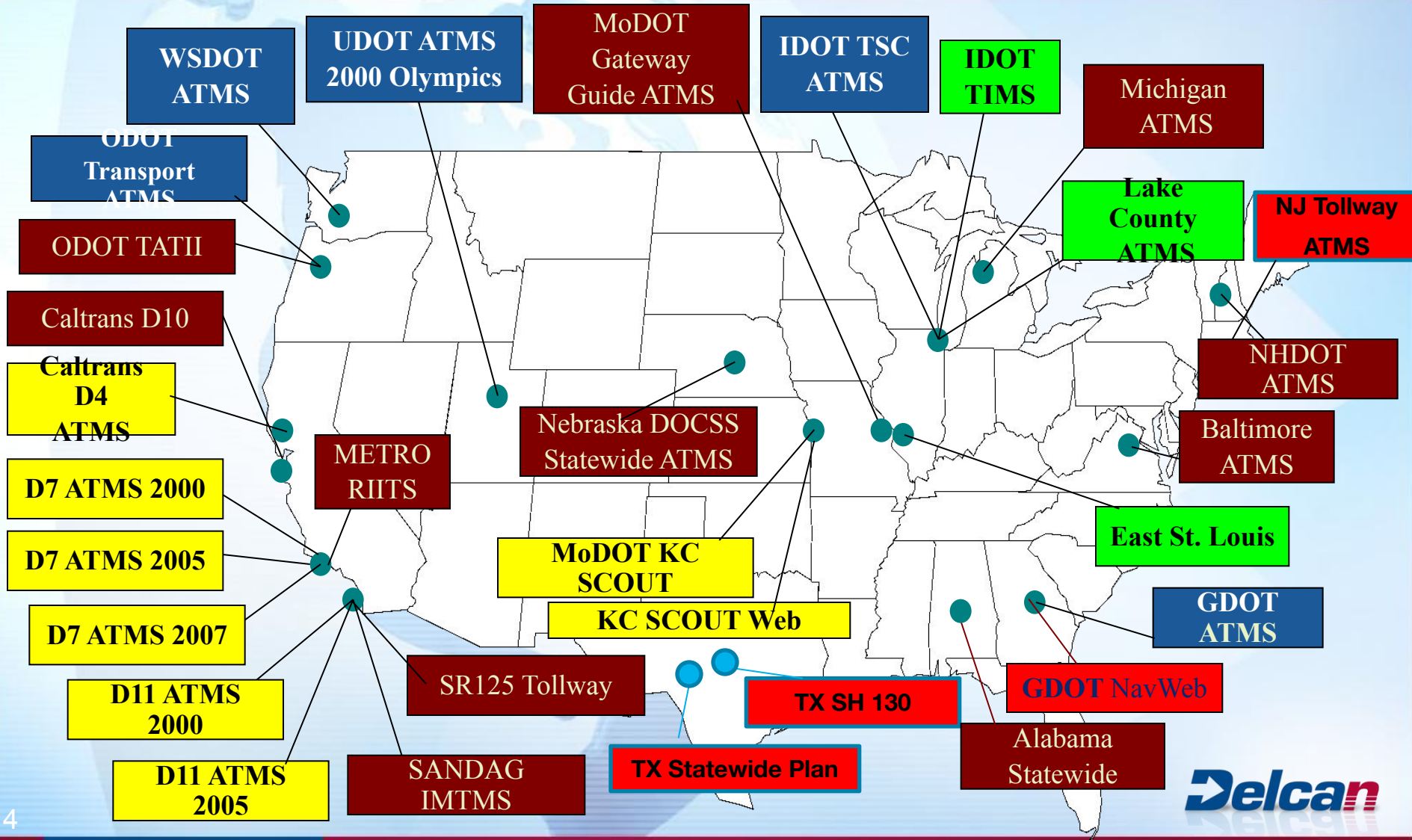


*Moving Technology to the Future*

*for over 50 years - Worldwide*

**Integrated Systems and  
Infrastructure Solutions**

# Delcan Advanced Traffic Management Systems (Technology Integration)



# Delcan Systems Business



- Key Office Locations in North America
  - Vienna VA, Atlanta, Chicago, Los Angeles, Oakland, Denver, Seattle, Vancouver, Toronto
- Key Services
  - Technology Integration (ITS)
  - Traffic Engineering with an emphasis on technology
  - Transportation System Planning, Engineering, and Design (all modes)
  - Program Management
  - Quality Management
  - Strategy & Policy Development
  - Public-Private Partnerships

# Adaptive Control System



Users' key reasons for not implementing adaptive control:

- Communications requirements and recurrent cost to operate network
- Number of detectors required to be installed and maintained
- Complexity of the system to configure and fine tune
- Integration with legacy system and field equipment
- Dealing with over-saturation and gridlock
- Overall cost to deploy

# Multiple Criteria Adaptive Control System (MAC)



## The MAC Advantages:

- Open to all types of detectors
- Open to most types of communications technologies, including wireless
- Easy to integrate with legacy equipment
- Co-exists with legacy system
- Dealing with over-saturation and gridlock
- Easy and cost-effective to deploy

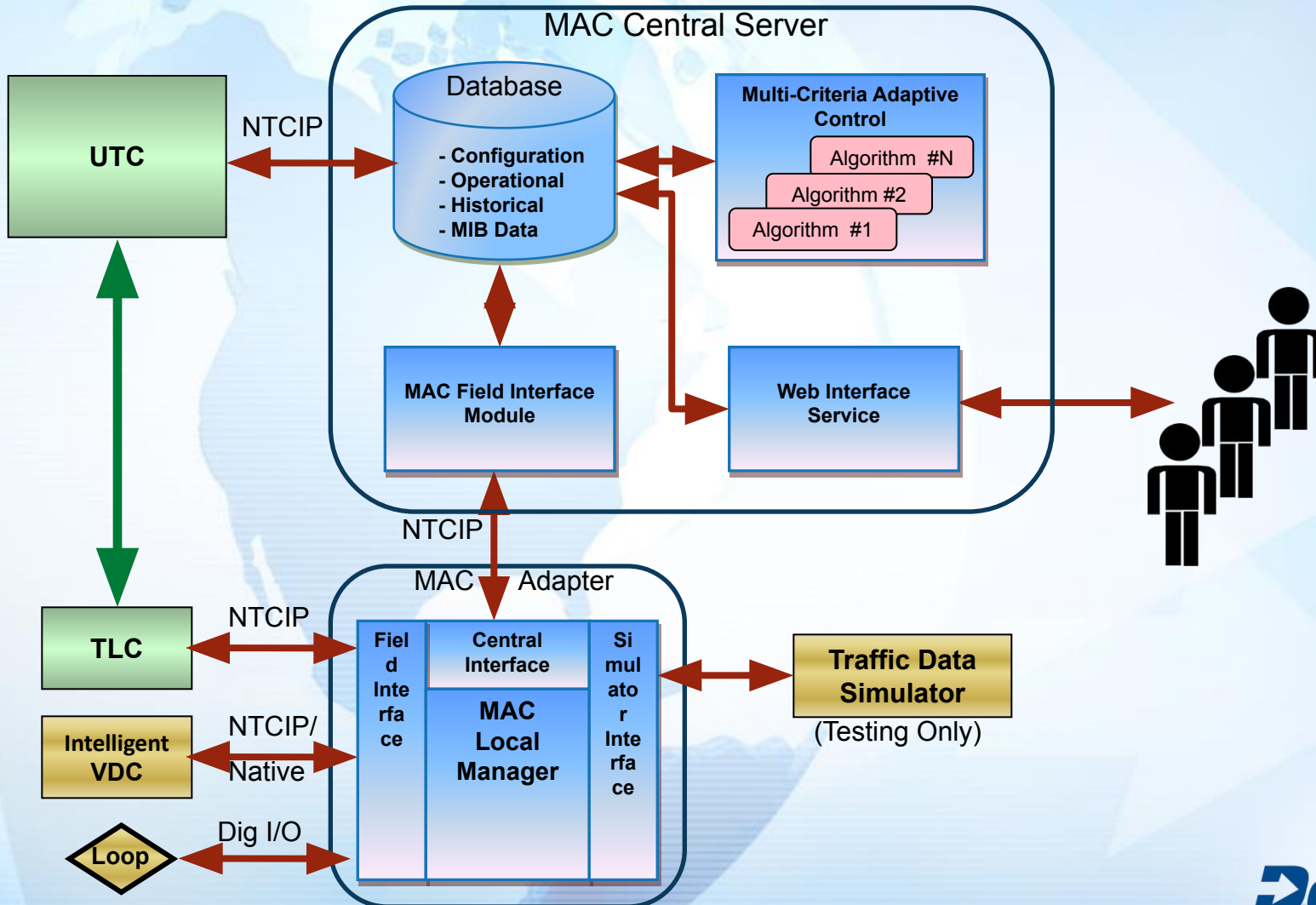
# Detector Requirements



- Open to all types of sensor technologies
- Flexible Location Criteria
  - Stop-Line
    - At Critical Intersections (Cycle Length & Split)
  - Lane Entry
    - Intermediate Intervals along Arterial (Offset)
  - No Detector
    - Minor Intersections



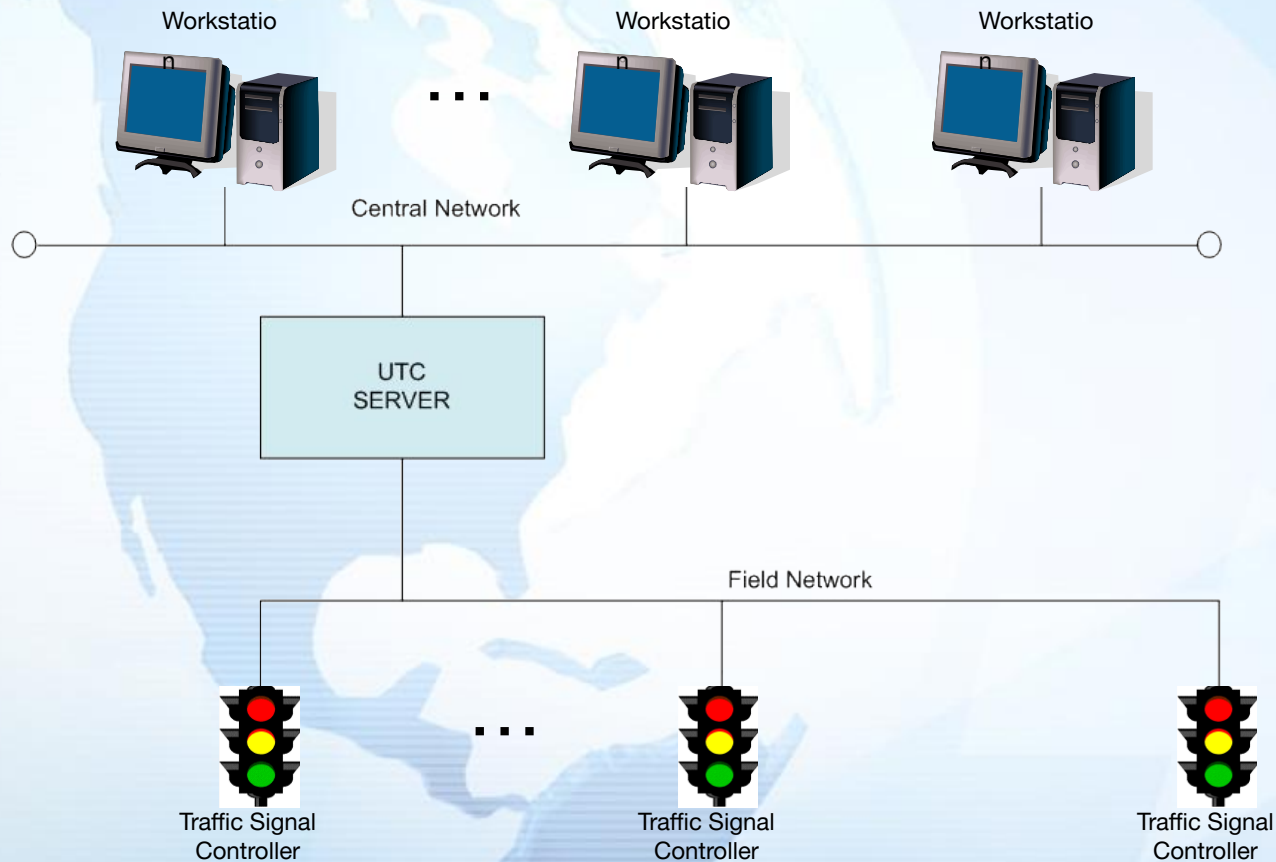
# MAC Software Architecture



# MAC Deployment



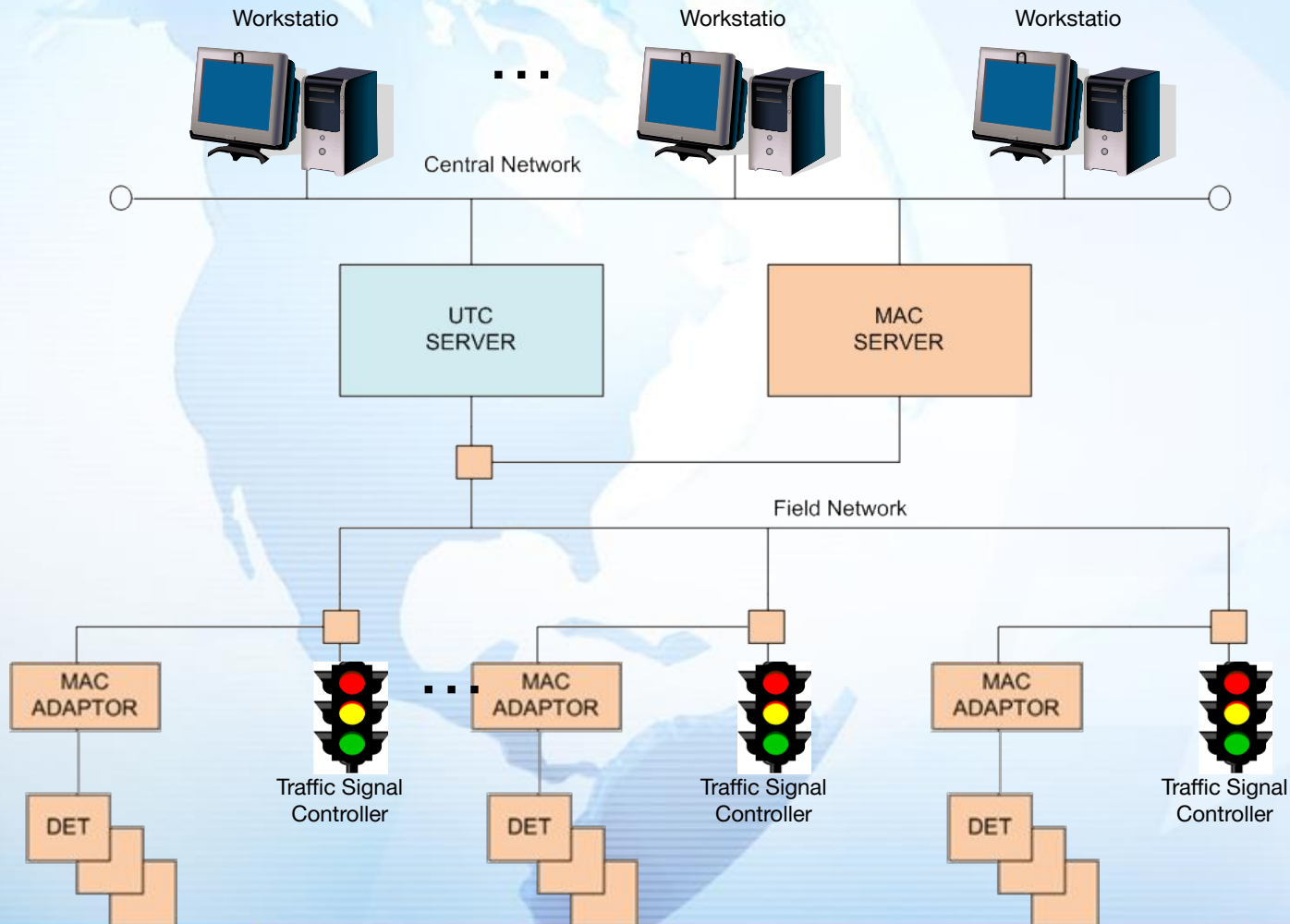
## Existing Signal System



# MAC Deployment



## Existing with MAC



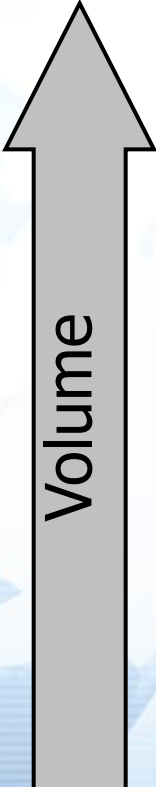
# Signal Timing Changes



- Change Signal Timings Every Cycle
  - Except for Light Traffic (15 minutes)
- \* *This supports most “intra-cycle” features (e.g. countdowns)*
  
- Timing Optimization Constrained to Small Changes for Smooth Transition
  - Incremental Change to Cycle Length ( $\pm 6$  s)
  - Incremental Change to Offset ( $\pm 4$  s)
  - Change Splits According to Demand Ratios
- \* *This allows for smooth transition during offset changes and avoids drastic changes in the signal operation*

# Multi-Criteria Adaptive Control



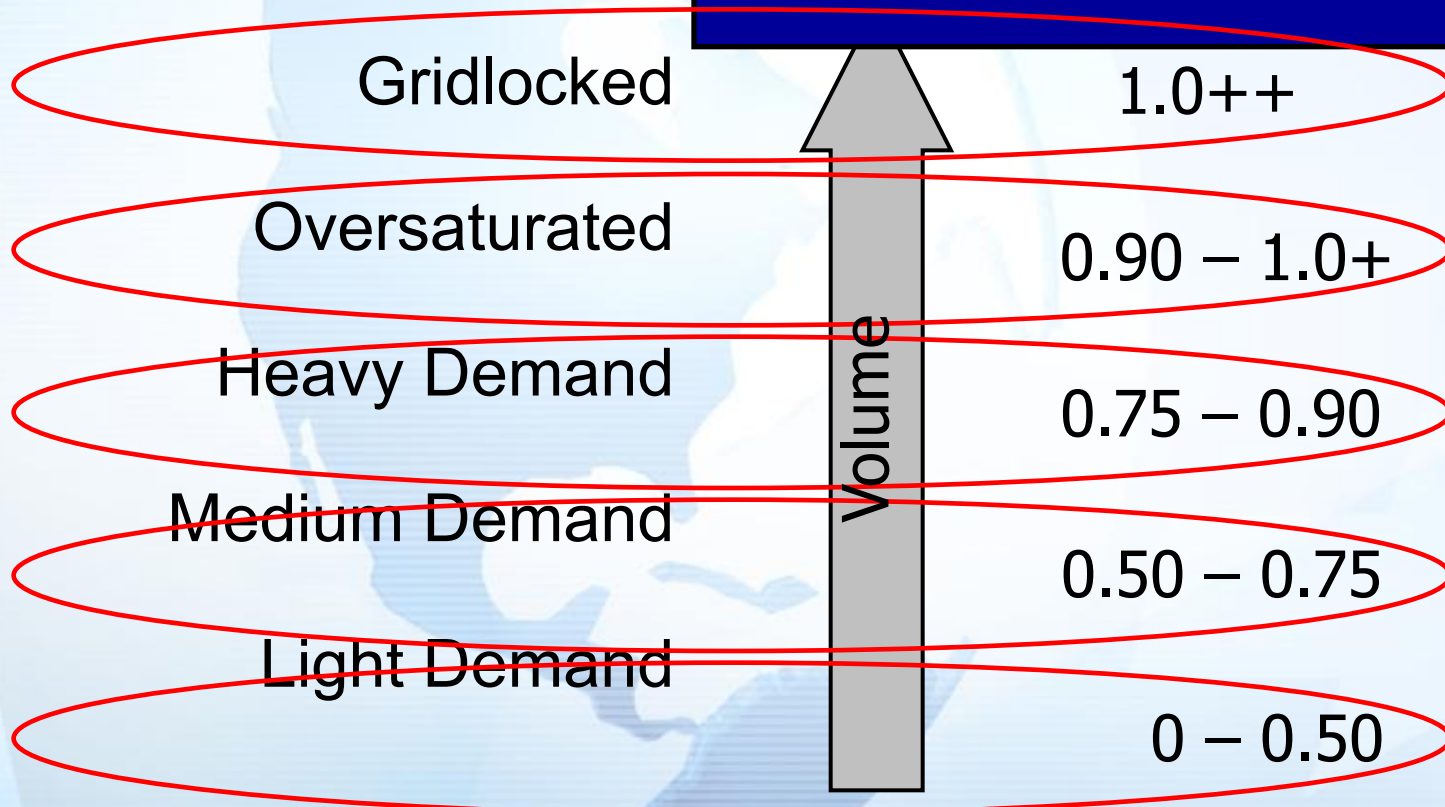
| <u>Traffic Condition</u> |   | <u>Degree of Saturation</u> |
|--------------------------|---|-----------------------------|
| Gridlocked               |  | 1.0++                       |
| Oversaturated            |   | 0.90 – 1.0+                 |
| Heavy Demand             |   | 0.75 – 0.90                 |
| Medium Demand            |   | 0.50 – 0.75                 |
| Light Demand             |   | 0 – 0.50                    |

# Multi-Criteria Adaptive Control

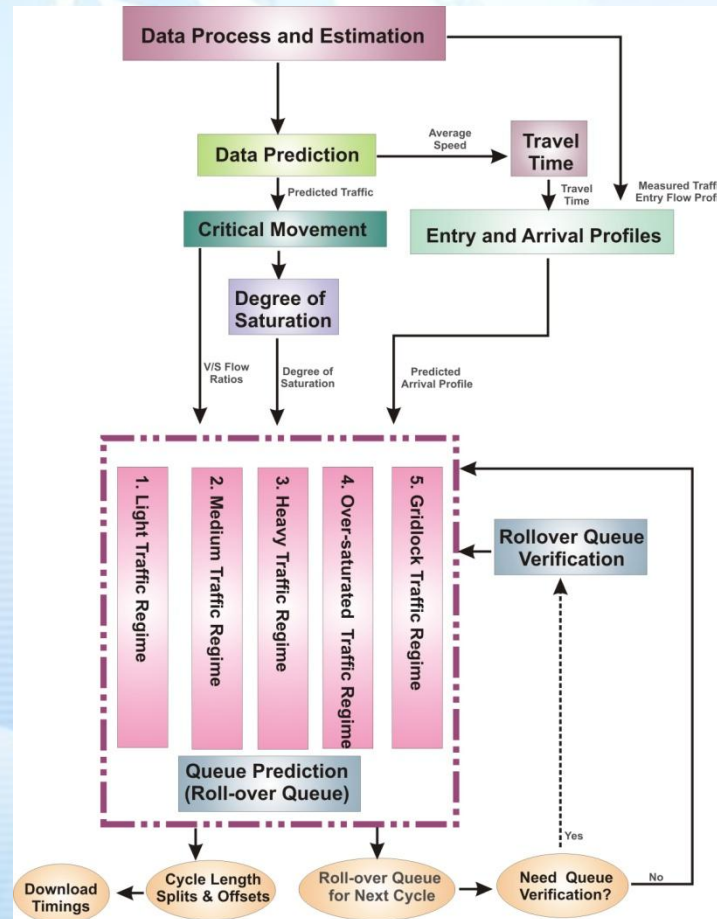


## Design Timings for Different Traffic Conditions

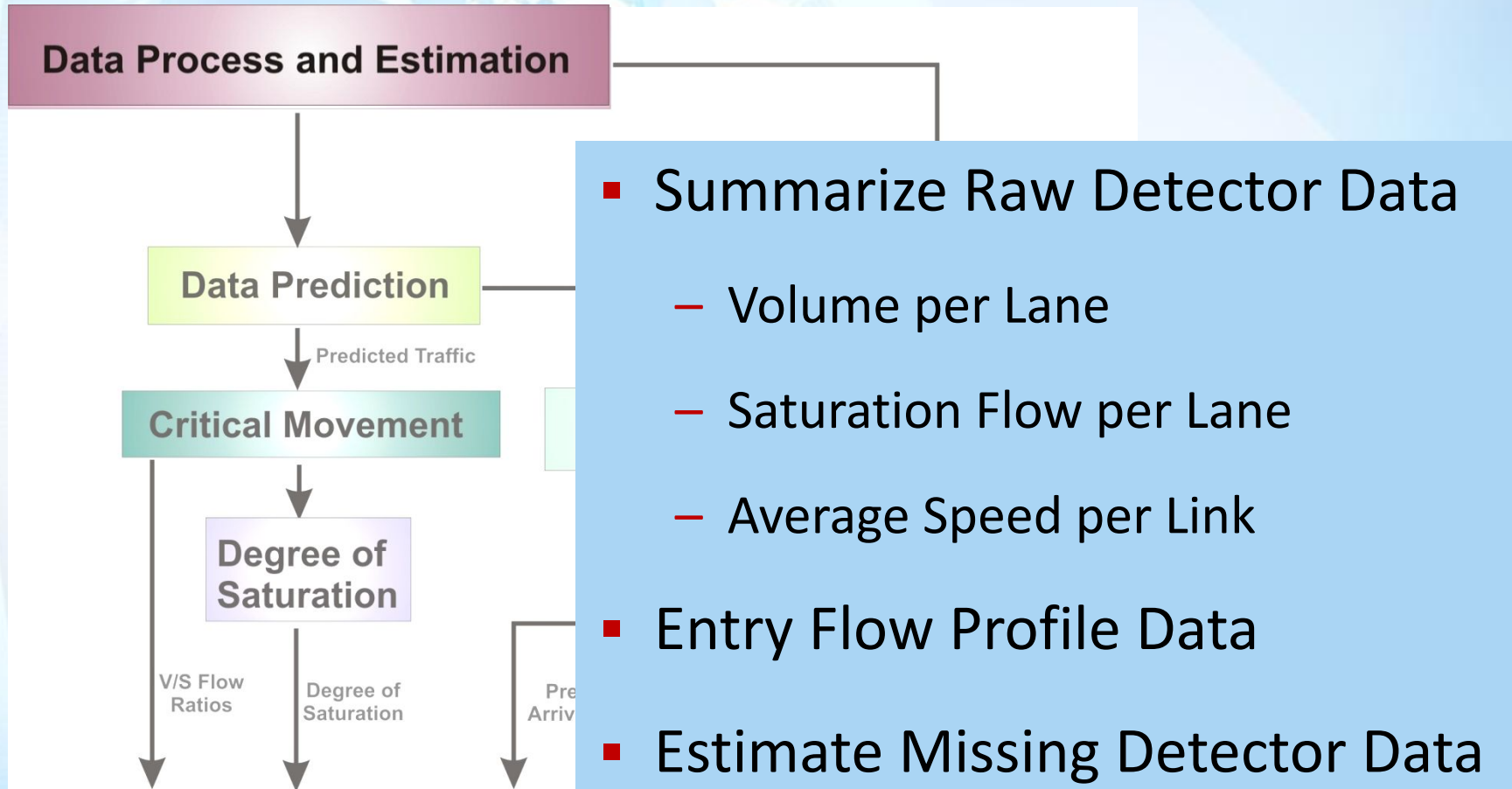
### Traffic Conditions



# Multi-Criteria Traffic Adaptive Control Algorithms

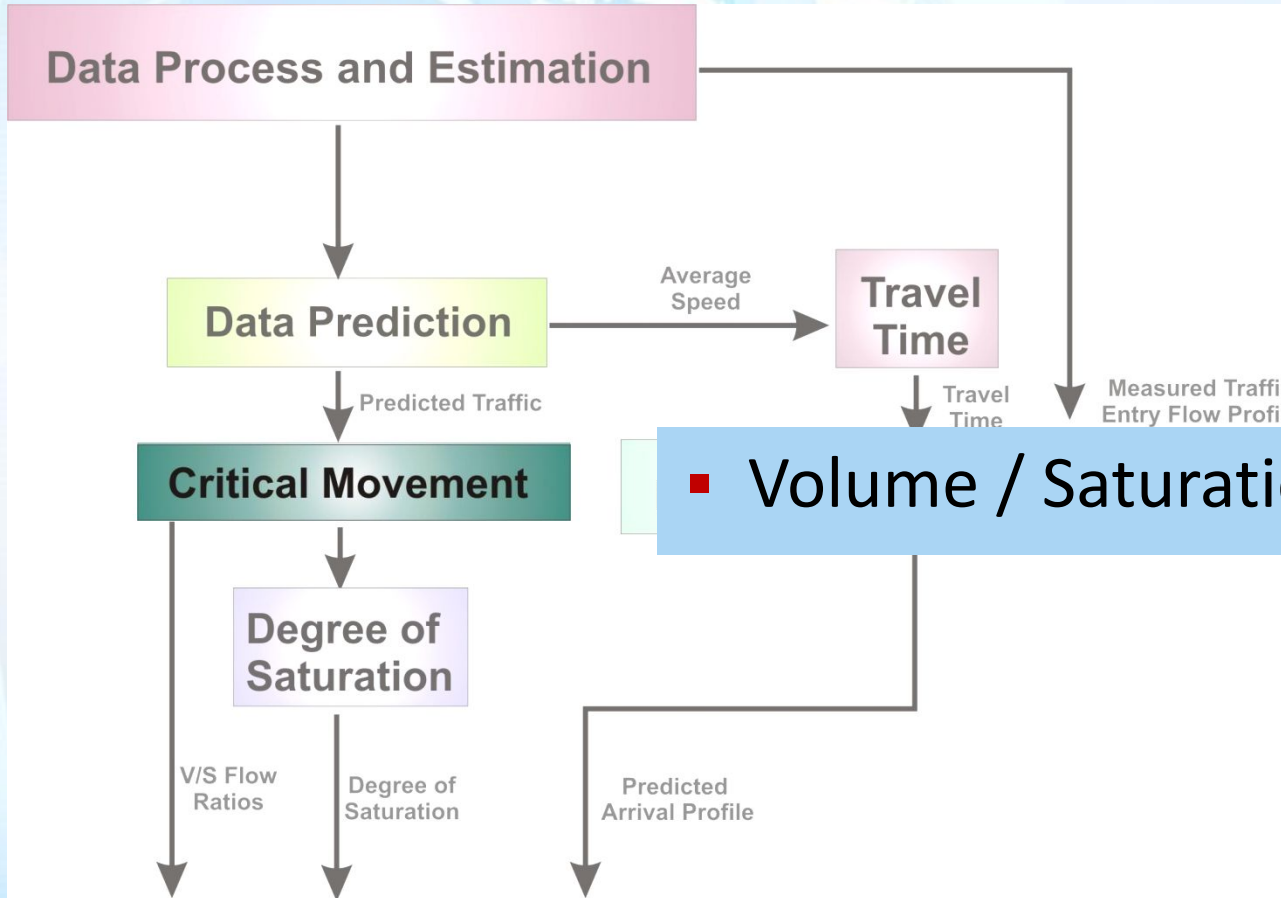


# Data Process and Estimation



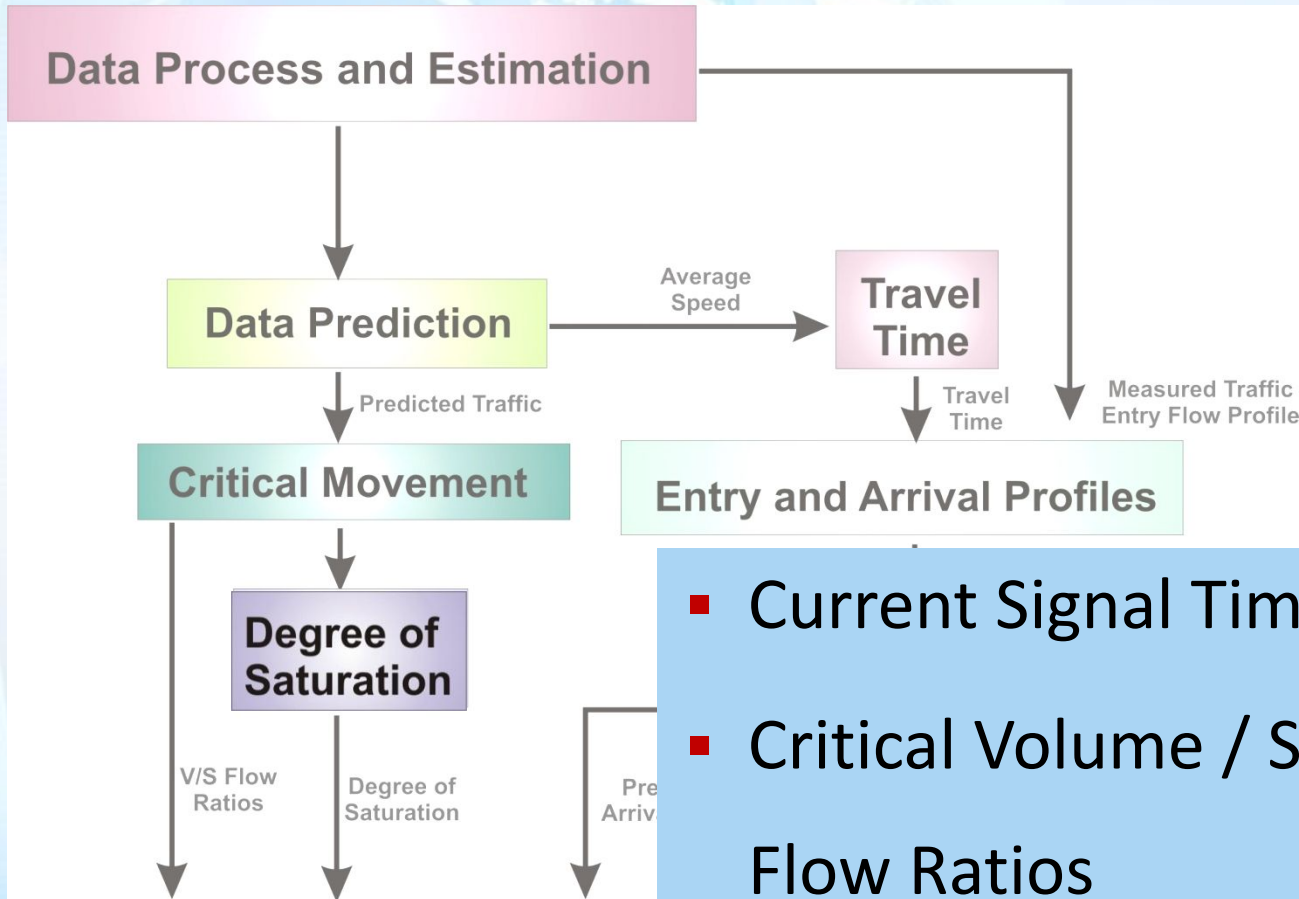


# Critical Movement



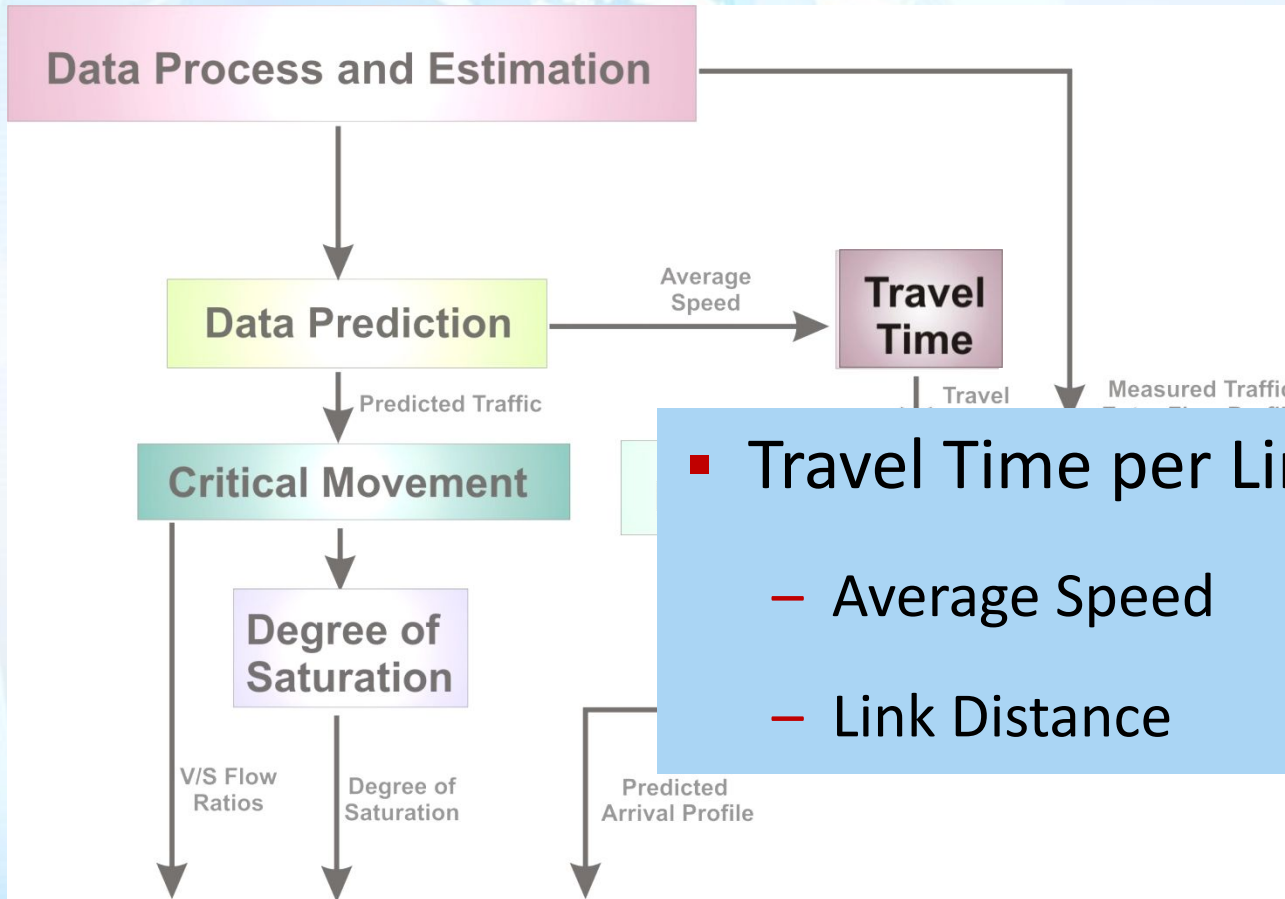
■ Volume / Saturation Flow Ratios

# Degree of Saturation



- Current Signal Timing
- Critical Volume / Saturation Flow Ratios

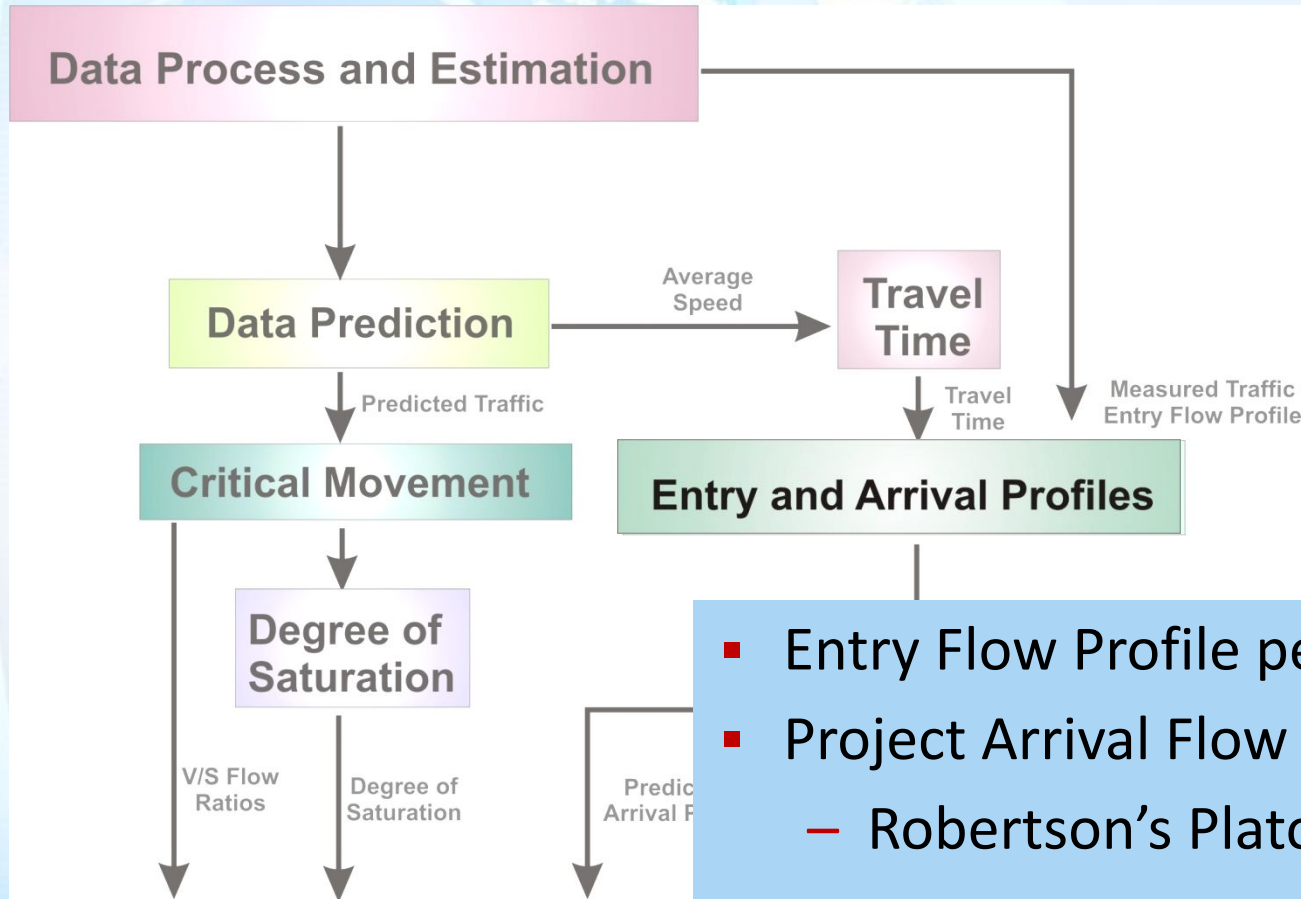
# Travel Time



## ■ Travel Time per Link

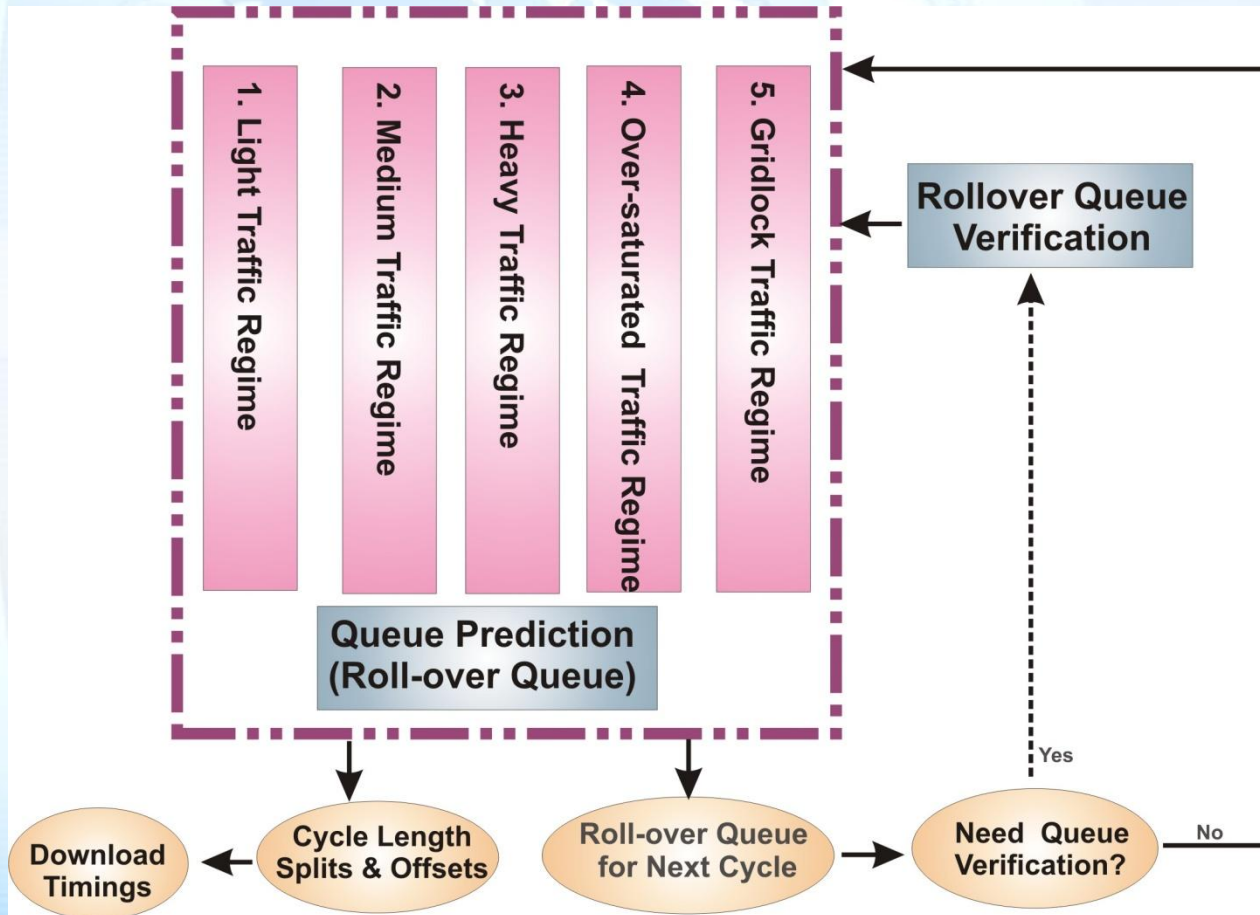
- Average Speed
- Link Distance

# Entry and Arrival Profiles



- Entry Flow Profile per Link
- Project Arrival Flow Profile
  - Robertson's Platoon Dispersion

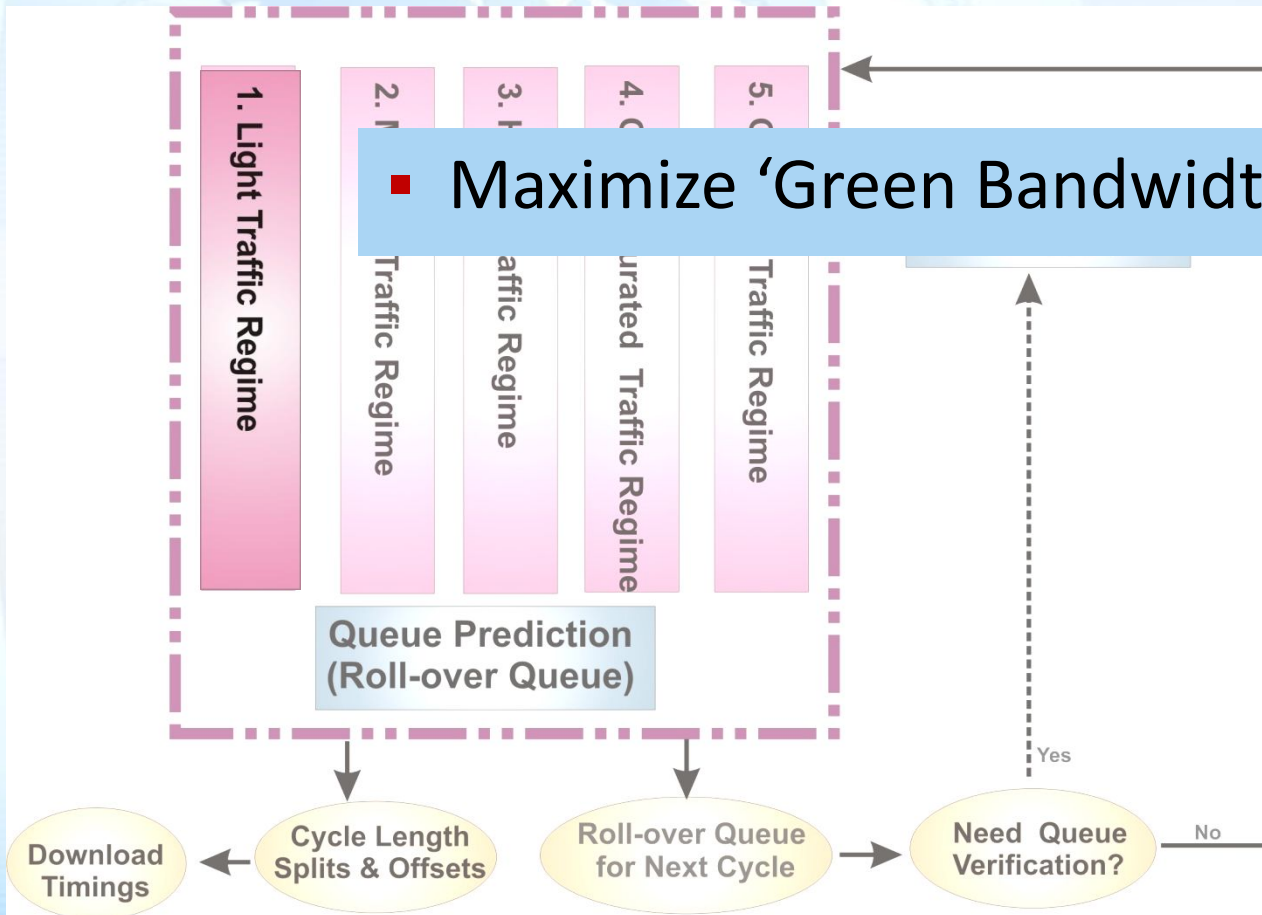
# Multi-Criteria Adaptive Control Algorithms



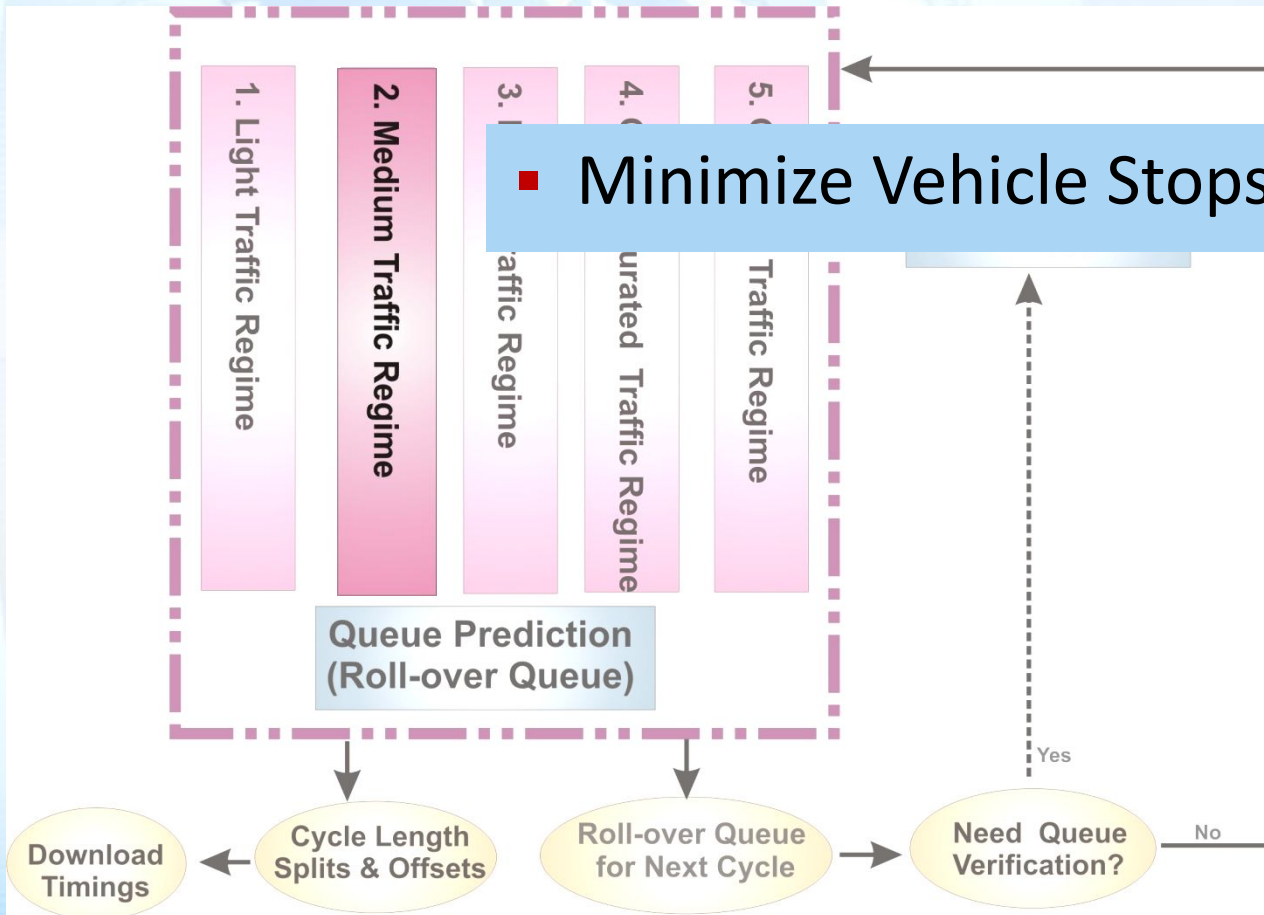
# Multi-Criteria Adaptive Control Algorithms



- Maximize 'Green Bandwidth'



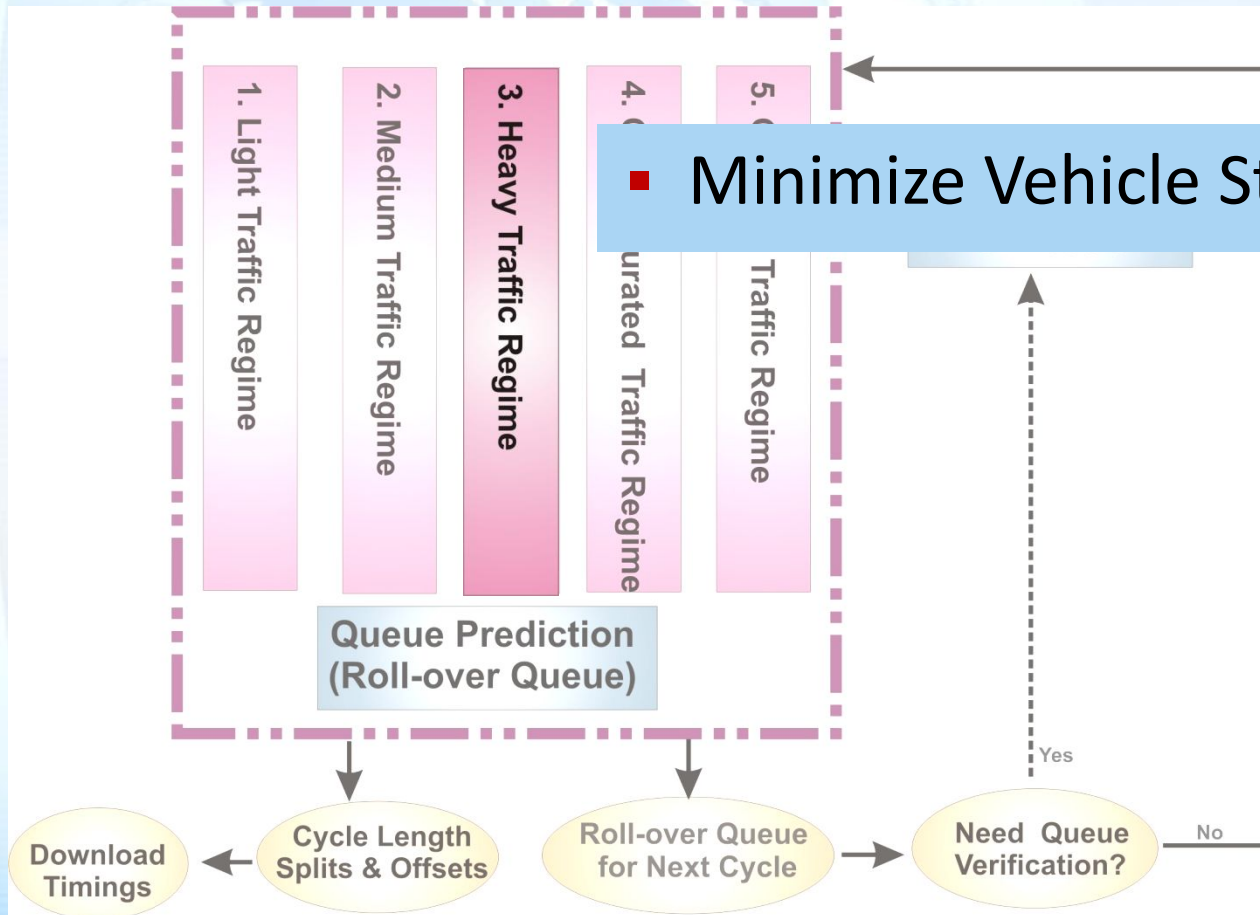
# Multi-Criteria Adaptive Control Algorithms



# Multi-Criteria Adaptive Control Algorithms



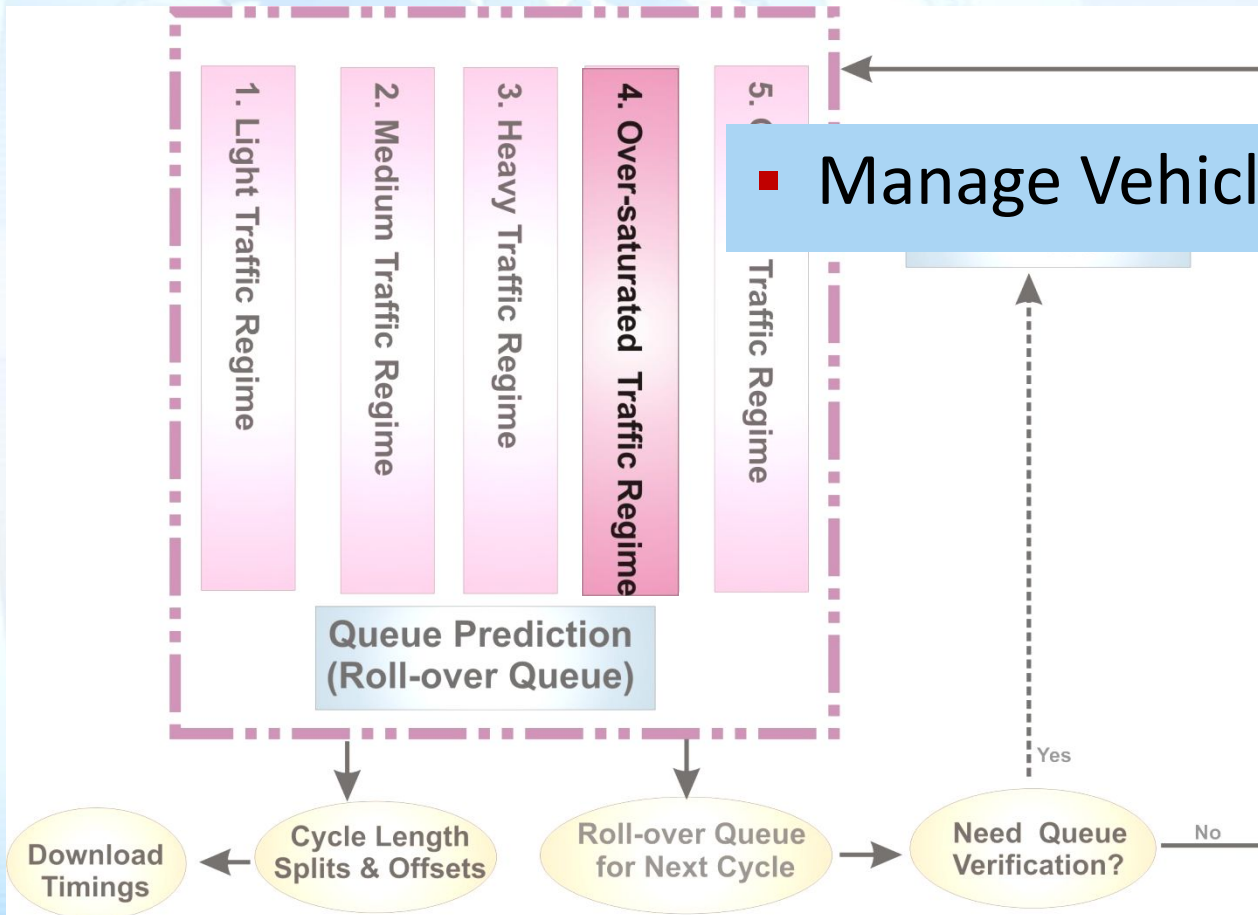
- Minimize Vehicle Stops & Delays



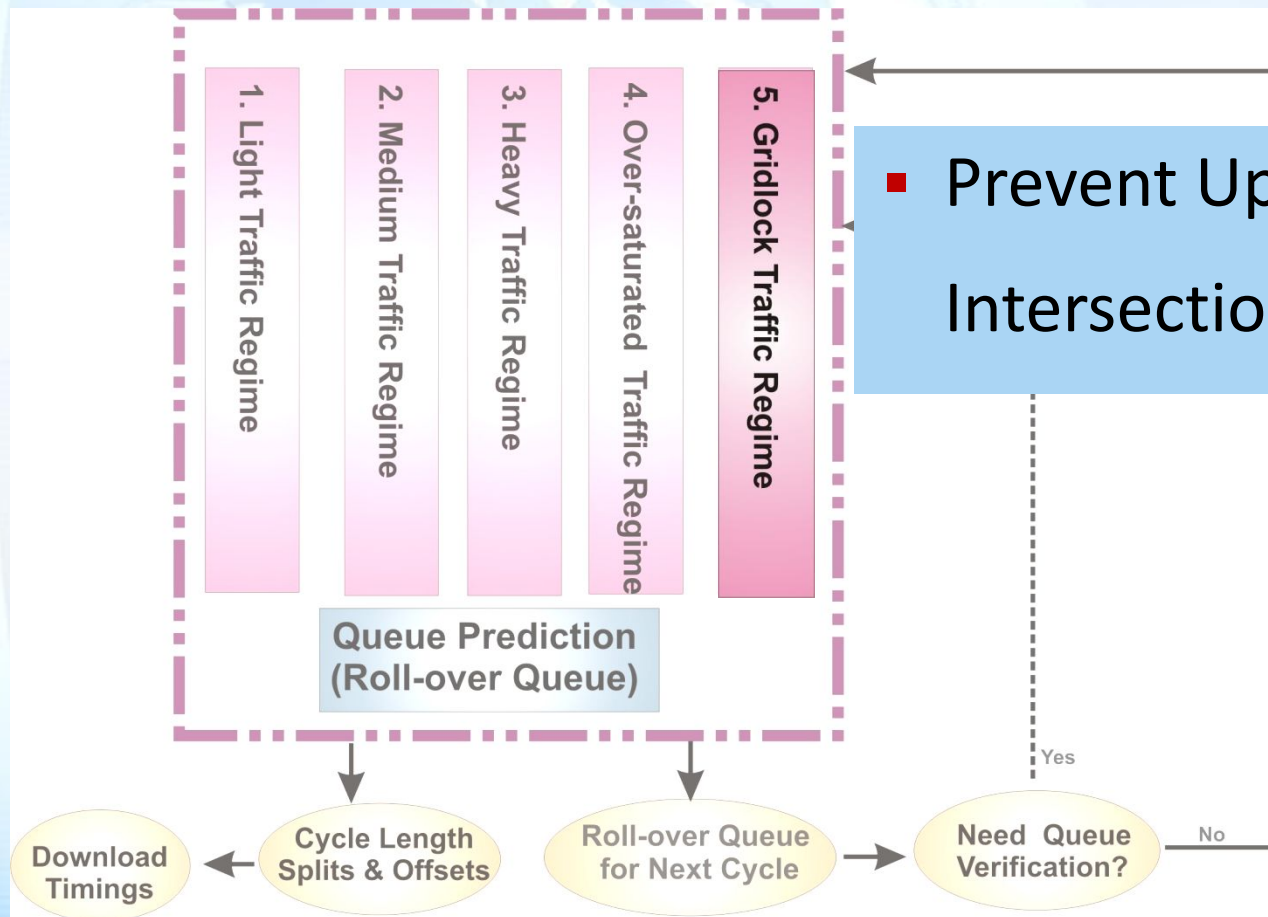
# Multi-Criteria Adaptive Control Algorithms



## Manage Vehicle Queues

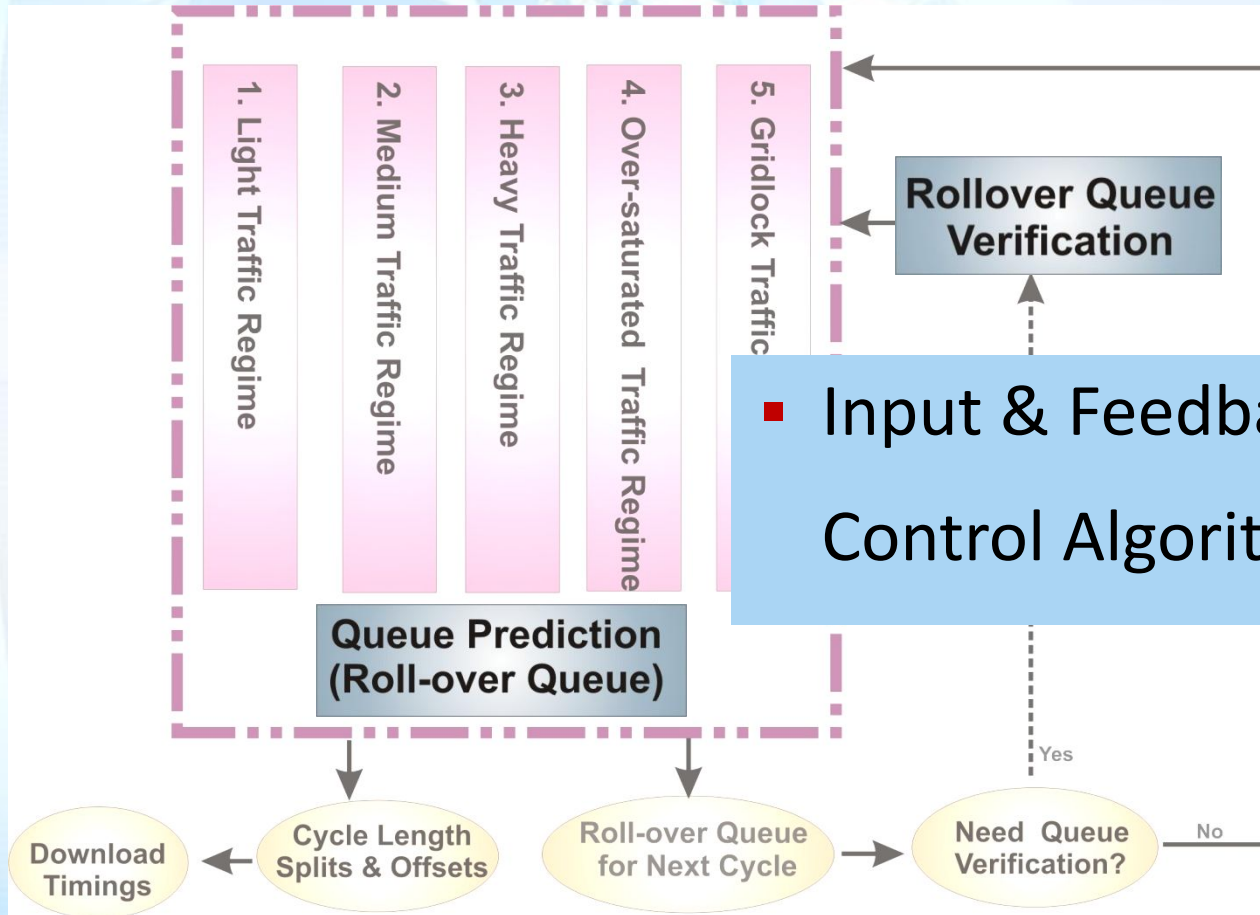


# Multi-Criteria Adaptive Control Algorithms



- Prevent Upstream Intersection Blocking

# Adaptive Control Algorithms



- Input & Feedback to Adaptive Control Algorithms

# York Region



- A 'County' in the Greater Toronto Area
- Population – 1 Million and fast growing
- 600+ signals
- Multi-protocol System (CTCS) - Supports 16 protocols on 4 controller brands
- Flexible communication supports leased lines, radio, 1xRTT, etc.

# Region's System Objectives



- Accurately Predict Traffic Patterns and Optimize Signal Timings
- Use Cost-effective Vehicle Detectors
- Minimize Communications Dependency (wireless)
- Use Distributed, Open System Architecture
- Effectively Deal with Wide Range of Traffic Conditions
- Open to a variety of controllers and systems

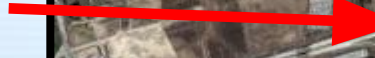
# Pilot Network Selection



Major Commuter  
Transit Parking



Highway Interchange



- 40,000 AADT
- 11 traffic control signals

Major Shopping Centre



# System Assessment



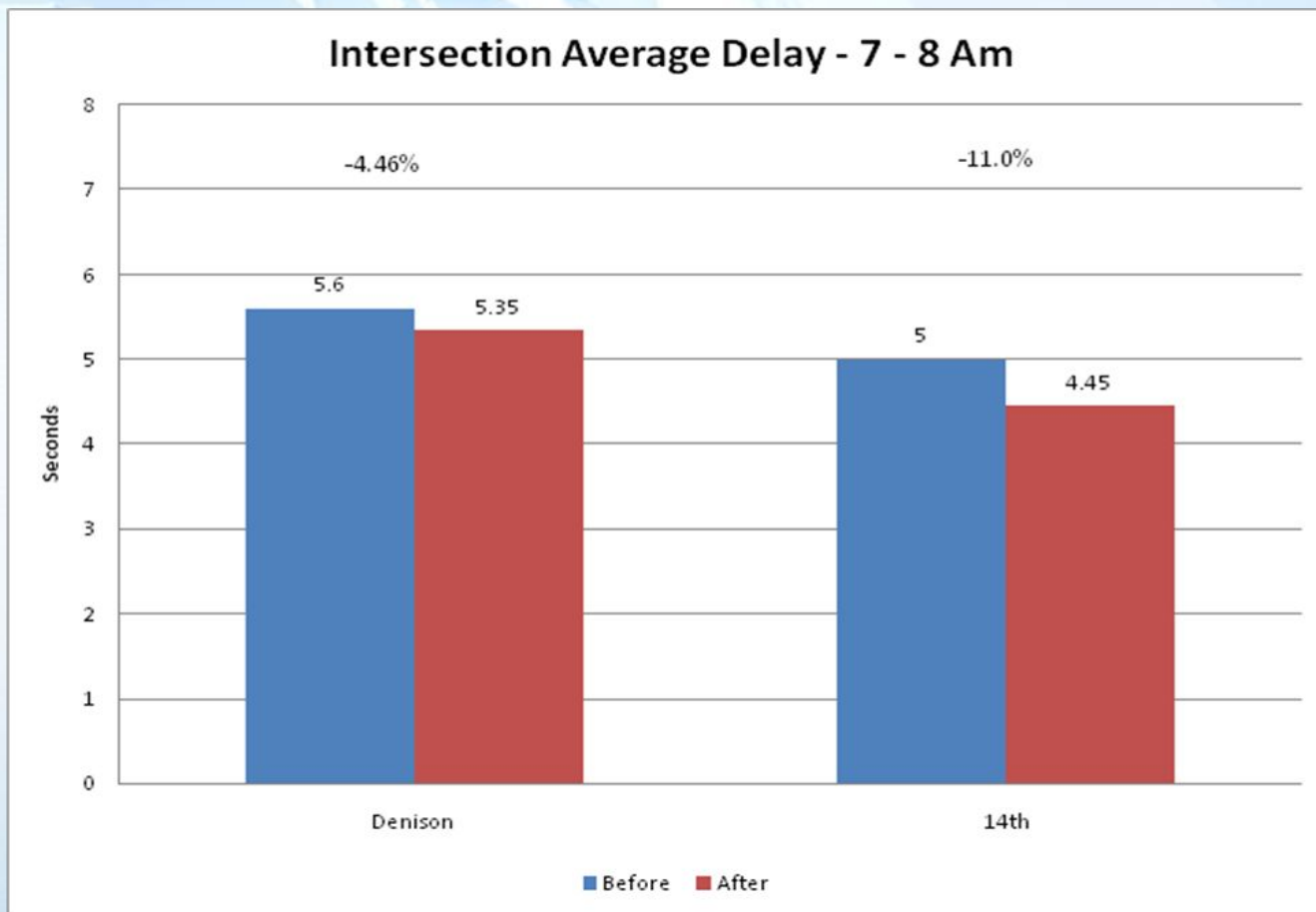
- Micro-simulation Test
  - AM Peak (Base Model)
  - Traffic Diversion From Highway 407
  - Incident, etc
  
- On-street System Evaluation
  - Conduct “Before & After” Study
  - Evaluate System Performance
    - Delay
    - Queue Length, etc



# Micro-simulation Results – Delay Reduction



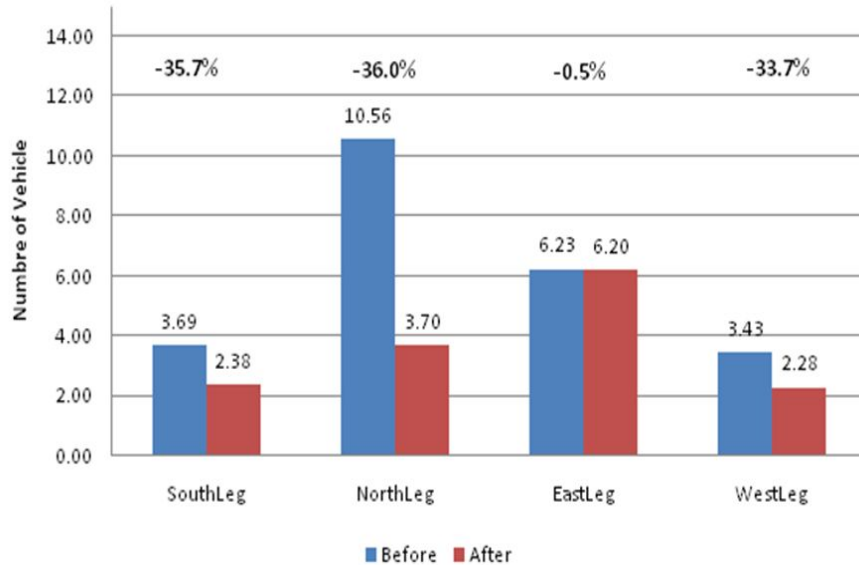
## Intersection Average Delay Reduction up to 11%



# Micro-simulation Results - Average Queue Lengths

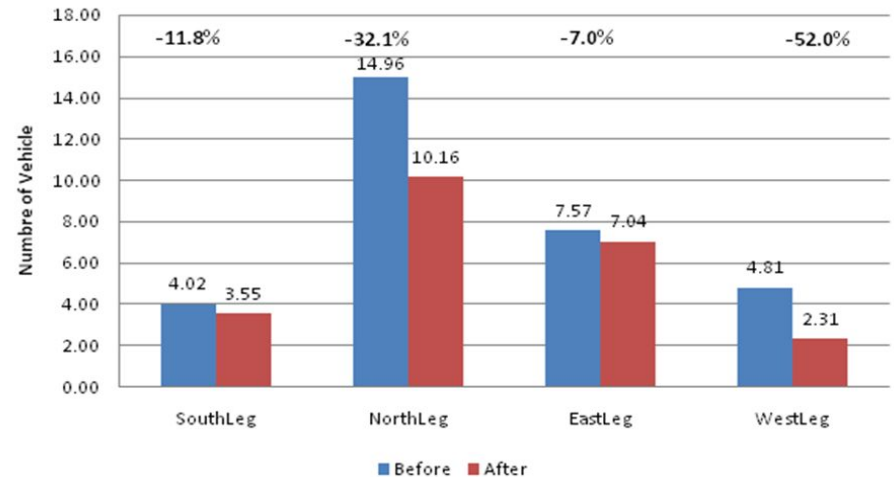


### Denison - Queue Length of Approaches



**Consistent Reductions of Queue Lengths**

### 14th Ave. - Queue Length of Approaches

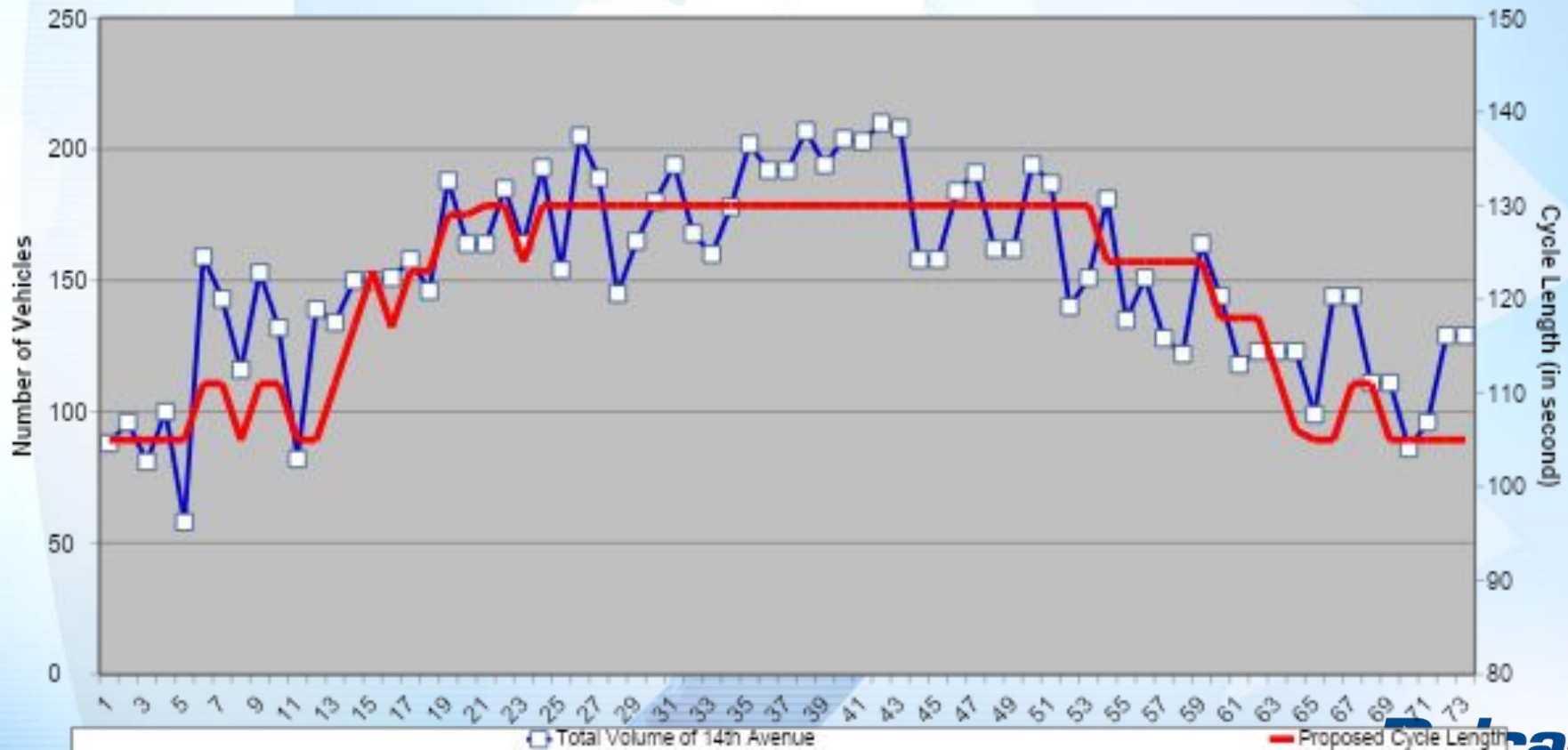


# On-Street System Evaluation – Cycle Length



## System Cycle Lengths Tracks Volume Trend

Total Volumes at Critical Intersectins Vs. Proposed Cycle Lengths On December 11, 2007

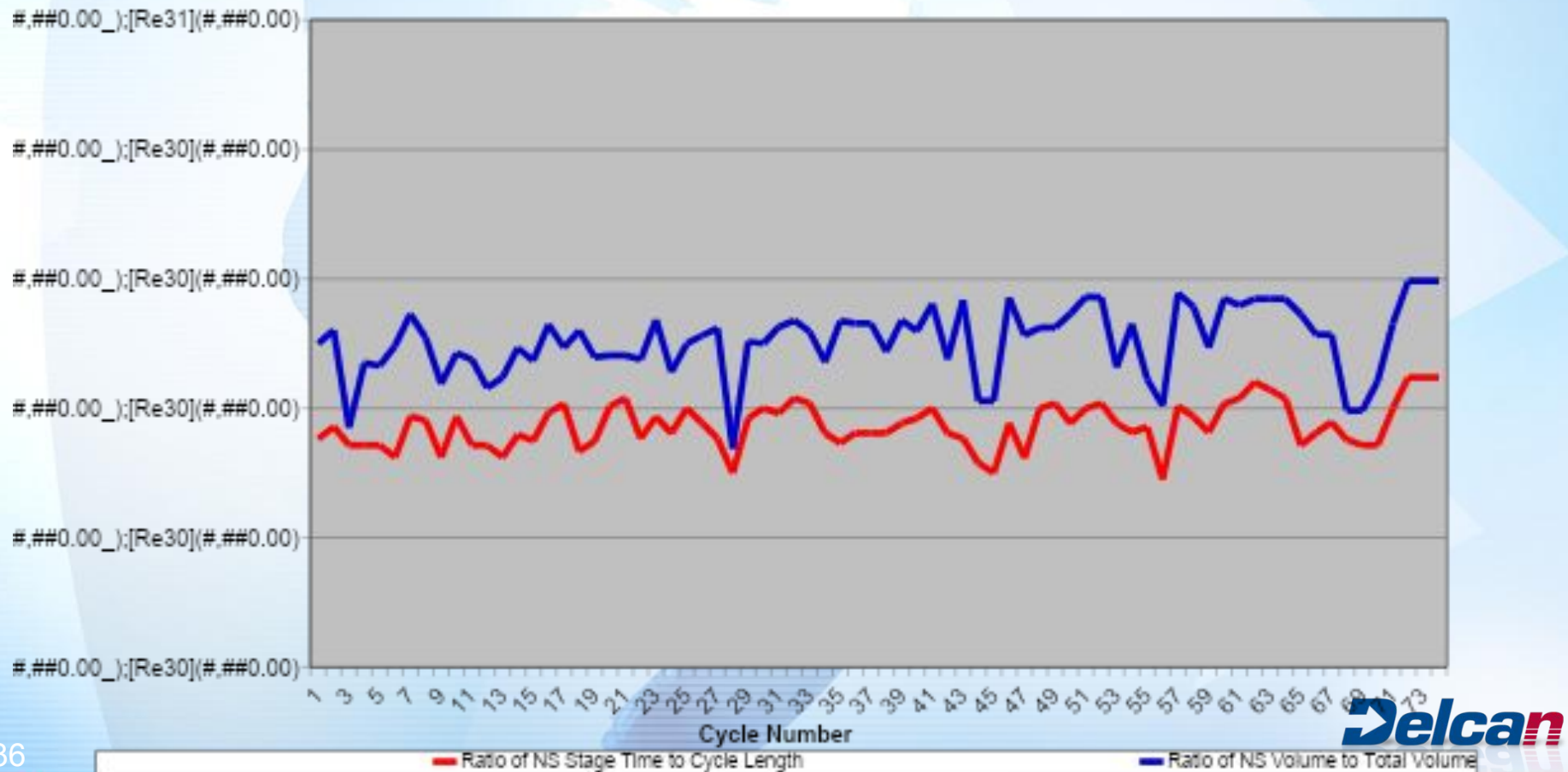


# On-Street System Evaluation - Green Splits



## Green Splits Track Traffic Volume Ratios

NS Traffic Ratio Vs. NS Timing Ratio  
Kennedy Road at 14th Avenue On December 11, 2007



# Conclusions of the Pilot Network Testing



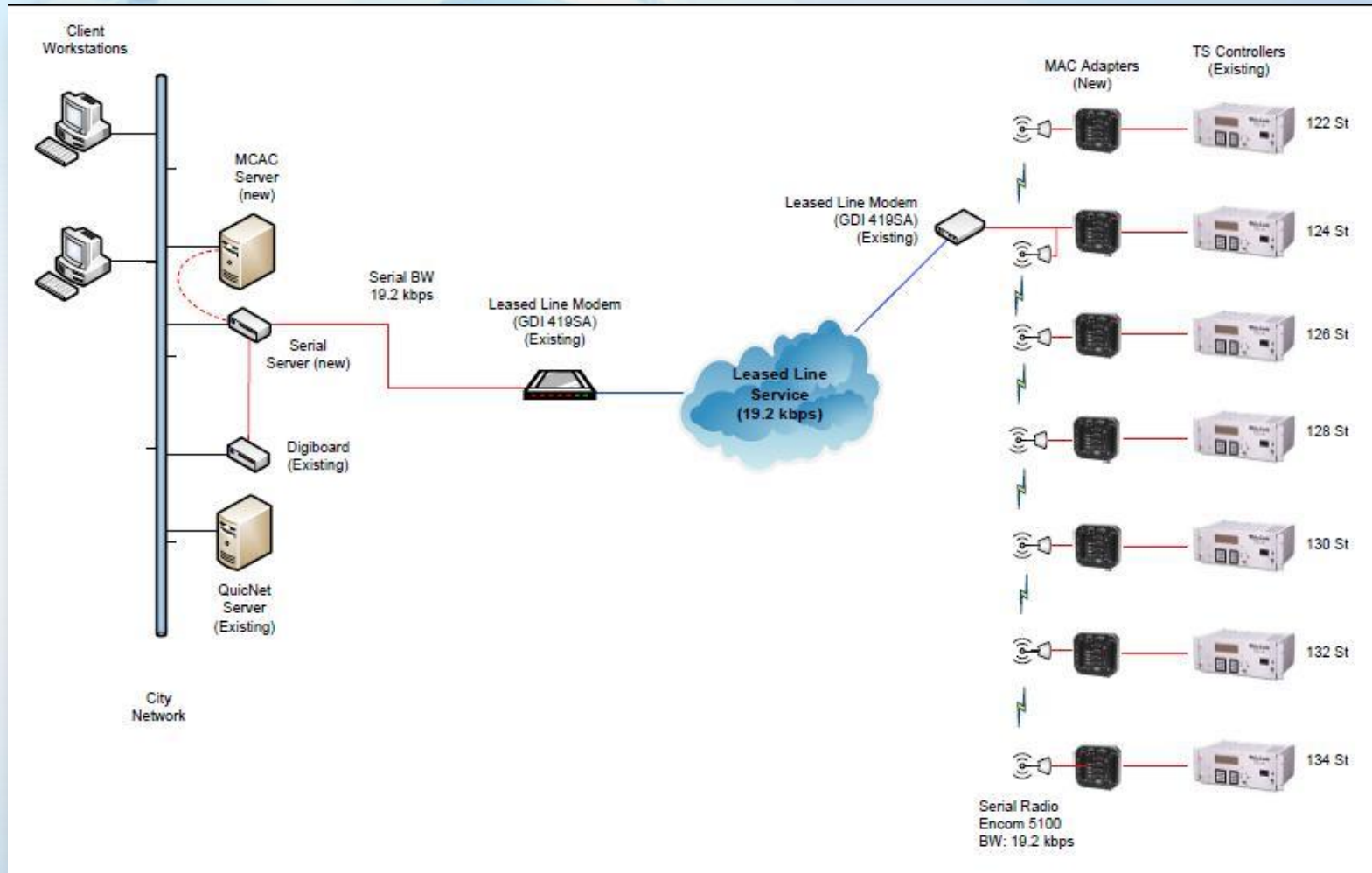
- The open system architecture design provided for seamless integration of the adaptive traffic control package with the Region's existing CTCS
- The adaptive traffic control package was successfully integrated and proven to work with the Region's legacy signal controllers
- The use of 3G wireless data communications technology provided a reliable, sustainable and cost effective solution
- MAC performed well compared to the fixed-time operation

# City of Surrey Implementation



- Test site -- 7 intersections along 72 Avenue in Surrey BC
- Average link distance = 2400 feet
- BI Tran 170 traffic signal controllers (serial communication protocol)
- QuickNet central system
- Central MAC server
- MAC adapters (one unit per intersection)
- Communication network (leased line + radio modems)

# City of Surrey System Layout



# City of Surrey – AM Peak Test



- Initial cycle parameter set implemented:
  - Algorithm: medium traffic
  - Cycle length: 90 seconds
  - Phase splits: 25 seconds EW / 40 seconds NS
- Peak cycle parameter set implemented at 8:35 AM
  - Algorithm: heavy traffic
  - Cycle length: 102 seconds
  - Phase splits: 35 seconds EW / 42 seconds NS
- Cycle length variation step: +/- 6 seconds

# City of Surrey System - User Interface AM Peak – 90 Seconds Cycle



ATMS - Mozilla Firefox  
http://172.16.11.12:8080/atms/atmslite.jsp

**intelligent NETWORKS**

New: Map | MultiViewer | List | Reporting | Administration | Profile | About | Logout | Help

Map | ASC: 72nd Ave @ 124 St | Viewer: ASC: 72nd Ave @ 126 St

Status  
Mode: Adaptive Adaptive Medium algorithm  
Comm Status: Online DoS: 0.15  
TSC Mode: Transition

Links  
Timings Links

Changes  
Timing Changes

| Offset | Splits                 | Change Time | Cycle | Offset | Splits            | Change Time |
|--------|------------------------|-------------|-------|--------|-------------------|-------------|
| 60     | 0 40 11 25 11 29 11 25 | 07:49:24    | 90    | 59     | 0 0 54 0 21 11 63 | 07:49:26    |
| 62     | 0 41 11 24 11 30 11 24 | 07:48:10    | 90    | 67     | 0 0 55 0 20 11 44 | 07:48:11    |
| 62     | 0 35 11 30 11 24 11 30 | 07:46:45    | 90    | 67     | 0 0 55 0 20 11 44 | 07:46:47    |
| 62     | 0 38 11 27 11 27 11 27 | 07:44:58    | 90    | 63     | 0 0 46 0 29 11 35 | 07:44:59    |
| 60     | 0 35 11 30 11 24 11 30 | 07:43:35    | 90    | 67     | 0 0 48 0 27 11 37 | 07:43:36    |

Movements

| on     | Vol (veh) | Sat Flow (veh/h) | Y-Ratio | Queue (veh) | Description       | Vol (veh) | Sat Flow (veh/h) | Y-Ratio | Queue (veh) |
|--------|-----------|------------------|---------|-------------|-------------------|-----------|------------------|---------|-------------|
| - Thru | 4         | 1747             | 0.09    | 0           | Link 1 NB - Right | 0         | 0                | 0       | 0           |
| - Left | 2         | 1551             | 0.03    | 0           | Link 1 NB - Left  | 1         | 1543             | 0.01    | 0           |
| - Thru | 14        | 3940             | 0.14    | 0           | Link 2 EB - Thru  | 8         | 3335             | 0.1     | 0           |
| - Left | 2         | 1551             | 0.01    | 0           | Link 4 WB - Thru  | 13        | 4217             | 0.1     | 0           |
| -      | -         | -                | -       | -           | Link 4 WB - Left  | 1         | 1543             | 0       | 0           |

| State | Name         | Roadway Name | Cross Street | Group Name | Mode       | Comm Status | TSC Mode   | Cycle | Adaptive Algorithm | DoS  |
|-------|--------------|--------------|--------------|------------|------------|-------------|------------|-------|--------------------|------|
| ●     | Network edge |              |              | 72 Ave     | Background | Offline     | Unknown    | 0     | Medium algorithm   | 0    |
| ●     | 3 - 122 St   | 72nd Ave     | 122 St       | 72 Ave     | Adaptive   | Online      | Adaptive   | 90    | Medium algorithm   | 0.51 |
| ●     | 27 - 124 St  | 72nd Ave     | 124 St       | 72 Ave     | Adaptive   | Online      | Transition | 90    | Medium algorithm   | 0.34 |
| ●     | 6 - 126 St   | 72nd Ave     | 126 St       | 72 Ave     | Adaptive   | Online      | Transition | 90    | Medium algorithm   | 0.15 |
| ●     | 23 - 128 St  | 72nd Ave     | 128 St       | 72 Ave     | Adaptive   | Online      | Adaptive   | 90    | Medium algorithm   | 0.87 |
| ●     | 0 - 130 St   | 72nd Ave     | 130 St       | 72 Ave     | Adaptive   | Online      | Transition | 90    | Medium algorithm   | 0.28 |
| ●     | 21 - 132 St  | 72nd Ave     | 132 St       | 72 Ave     | Adaptive   | Online      | Transition | 90    | Medium algorithm   | 0.96 |
| ●     | 10 - 134 St  | 72nd Ave     | 134 St       | 72 Ave     | Adaptive   | Online      | Transition | 90    | Medium algorithm   | 0.42 |

Done

EN 7:56 AM 02/08/2012

Red colored symbols represent high degree of saturation values

# City of Surrey System - User Interface

## AM Peak – 102 Seconds Cycle



ATMS - Mozilla Firefox | http://172.16.11.12:8080/atms/atmslite.jsp | chtoadaptive01.surrey.ca

intelligent NETWORKS

New: Map | Multiviewer | List | Reporting | Administration | Profile | About | Logout | Help

Map | MCAC Area

Light colors on the map symbolize low degree of saturation values reflecting the adaptive algorithm efficiency

List | ASC | Groups

| State | Name         | Roadway Name | Cross Street | Group Name | Mode       | Comm Status | TSC Mode   | Cycle | Adaptive Algorithm | DoS  |
|-------|--------------|--------------|--------------|------------|------------|-------------|------------|-------|--------------------|------|
| ●     | Network edge |              |              | 72 Ave     | Background | Offline     | Unknown    | 0     | Heavy algorithm    | 0    |
| ●     | 3 - 122 St   | 72nd Ave     | 122 St       | 72 Ave     | Adaptive   | Online      | Transition | 102   | Heavy algorithm    | 0.36 |
| ●     | 27 - 124 St  | 72nd Ave     | 124 St       | 72 Ave     | Adaptive   | Online      | Transition | 102   | Heavy algorithm    | 0.49 |
| ●     | 6 - 126 St   | 72nd Ave     | 126 St       | 72 Ave     | Adaptive   | Online      | Transition | 102   | Heavy algorithm    | 0.34 |
| ●     | 23 - 128 St  | 72nd Ave     | 128 St       | 72 Ave     | Adaptive   | Online      | Transition | 102   | Heavy algorithm    | 0.77 |
| ●     | 0 - 130 St   | 72nd Ave     | 130 St       | 72 Ave     | Adaptive   | Online      | Adaptive   | 102   | Heavy algorithm    | 0.25 |
| ●     | 21 - 132 St  | 72nd Ave     | 132 St       | 72 Ave     | Adaptive   | Online      | Adaptive   | 102   | Heavy algorithm    | 0.53 |
| ●     | 10 - 134 St  | 72nd Ave     | 134 St       | 72 Ave     | Adaptive   | Online      | Transition | 102   | Heavy algorithm    | 0.28 |

Done | Start | 8:34 AM 02/08/2012

# City of Surrey System – PM Peak



- Illustrative example of efficient management of heavy traffic during special events using adaptive control
- Test Case: Surrey 2 August, 2012
  - PM peak extended by Ramadan celebration
  - Mosque located close to one of the test intersections
- Traffic detected: from 35 vehicles / cycle (at 90 sec.)  
to 110 vehicles / cycle (at 120 sec.)

# City of Surrey System – PM Peak



- Test conducted between 3:00 PM and 8:30 PM
- Initial cycle parameter set implemented:
  - Algorithm: medium traffic
  - Cycle length: 90 seconds
  - Phase splits: 20 seconds EW / 45 seconds NS
- Peak cycle parameter set implemented at 5:35 PM
  - Algorithm: heavy traffic
  - Cycle length: 120 seconds
  - Phase splits: 45 seconds EW / 43 seconds NS
- Cycle length variation step: +/- 6 seconds

# City of Surrey System - User Interface

## PM Peak – 120 Seconds Cycle



ATMS - Mozilla Firefox | chloadaptive01.surrey.ca

http://172.16.11.12:8080/atms/atmslite.jsp

**intelligent NETWORKS**

Viewer | ASC: 72nd Ave @ 122 St

Status  
Mode: Adaptive Adaptive Medium algorithm  
Comm Status: Online DoS: 0.77  
TSC Mode: Transition

Viewer | ASC: 72nd Ave @ 128 St

Status  
Mode: Adaptive Adaptive Medium algorithm  
Comm Status: Online DoS: 0.64  
TSC Mode: Transition

Viewer | ASC: 72nd Ave @ 132 St

Status  
Mode: Adaptive Adaptive Medium algorithm  
Comm Status: Online DoS: 0.72  
TSC Mode: Transition

Map

Timing Changes

| Cycle | Offset | Splits                 | Change Time |
|-------|--------|------------------------|-------------|
| 114   | 21     | 0 45 11 43 20 25 11 43 | 17:30:53    |
| 114   | 25     | 0 45 11 43 20 25 11 43 | 17:28:33    |
| 114   | 21     | 0 45 20 34 20 25 20 34 | 17:26:47    |

Movements

| Description      | Vol (veh) | Sat Flow (veh/h) | Y-Ratio | Queue (veh) |
|------------------|-----------|------------------|---------|-------------|
| Link 1 NB - Thru | 3         | 1739             | 0.05    | 0           |
| Link 1 NB - Left | 2         | 1551             | 0.03    | 0           |
| Link 2 EB - Thru | 47        | 8127             | 0.18    | 0           |
| Link 2 EB - Left | 2         | 1551             | 0       | 0           |
| Link 3 SB - Thru | 15        | 1749             | 0.21    | 0           |
| Link 3 SB - Left | 19        | 1551             | 0.39    | 0           |
| Link 4 WB - Thru | 28        | 5103             | 0.17    | 0           |
| Link 4 WB - Left | 10        | 2398             | 0.05    | 0           |

Timing Changes

| Cycle | Offset | Splits                  | Change Time |
|-------|--------|-------------------------|-------------|
| 120   | 52     | 11 29 11 51 11 29 11 51 | 17:32:46    |
| 114   | 52     | 20 34 11 31 20 34 11 31 | 17:30:58    |
| 114   | 52     | 11 60 11 21 11 60 11 21 | 17:28:38    |
| 114   | 52     | 11 38 11 36 11 38 11 36 | 17:26:53    |
| 114   | 52     | 11 24 11 50 11 24 11 50 | 17:25:05    |

Movements

| Description      | Vol (veh) | Sat Flow (veh/h) | Y-Ratio | Queue (veh) |
|------------------|-----------|------------------|---------|-------------|
| Link 1 NB - Thru | 19        | 3477             | 0.17    | 0           |
| Link 1 NB - Left | 6         | 1442             | 0.04    | 0           |
| Link 2 EB - Thru | 58        | 5734             | 0.32    | 0           |
| Link 2 EB - Left | 1         | 1532             | 0       | 0           |
| Link 3 SB - Thru | 20        | 3601             | 0.18    | 0           |
| Link 3 SB - Left | 4         | 1562             | 0.02    | 0           |
| Link 4 WB - Thru | 42        | 4687             | 0.28    | 0           |

Movements

| Description       | Vol (veh) | Sat Flow (veh/h) | Y-Ratio | Queue (veh) |
|-------------------|-----------|------------------|---------|-------------|
| Link 1 NB - Right | 0         | 0                | 0       | 0           |
| Link 1 NB - Thru  | 15        | 2915             | 0       | 0           |
| Link 1 NB - Left  | 3         | 1550             | 0       | 0           |
| Link 2 EB - Thru  | 36        | 4962             | 0       | 0           |
| Link 2 EB - Left  | 4         | 1551             | 0       | 0           |
| Link 3 SB - Thru  | 17        | 1660             | 0       | 0           |
| Link 3 SB - Left  | 5         | 1533             | 0       | 0           |
| Link 4 WB - Thru  | 36        | 6388             | 0       | 0           |

State

| Name         | State           | ASC        |
|--------------|-----------------|------------|
| Network edge | Offline         | Unknown    |
| 3 - 122 St   | Adaptive Online | Transition |
| 7 - 124 St   | Adaptive Online | Transition |
| 6 - 126 St   | Adaptive Online | Adaptive   |
| 23 - 128 St  | Adaptive Online | Transition |
| 0 - 130 St   | Adaptive Online | Transition |
| 21 - 132 St  | Adaptive Online | Transition |
| 10 - 134 St  | Adaptive Online | Adaptive   |

Done

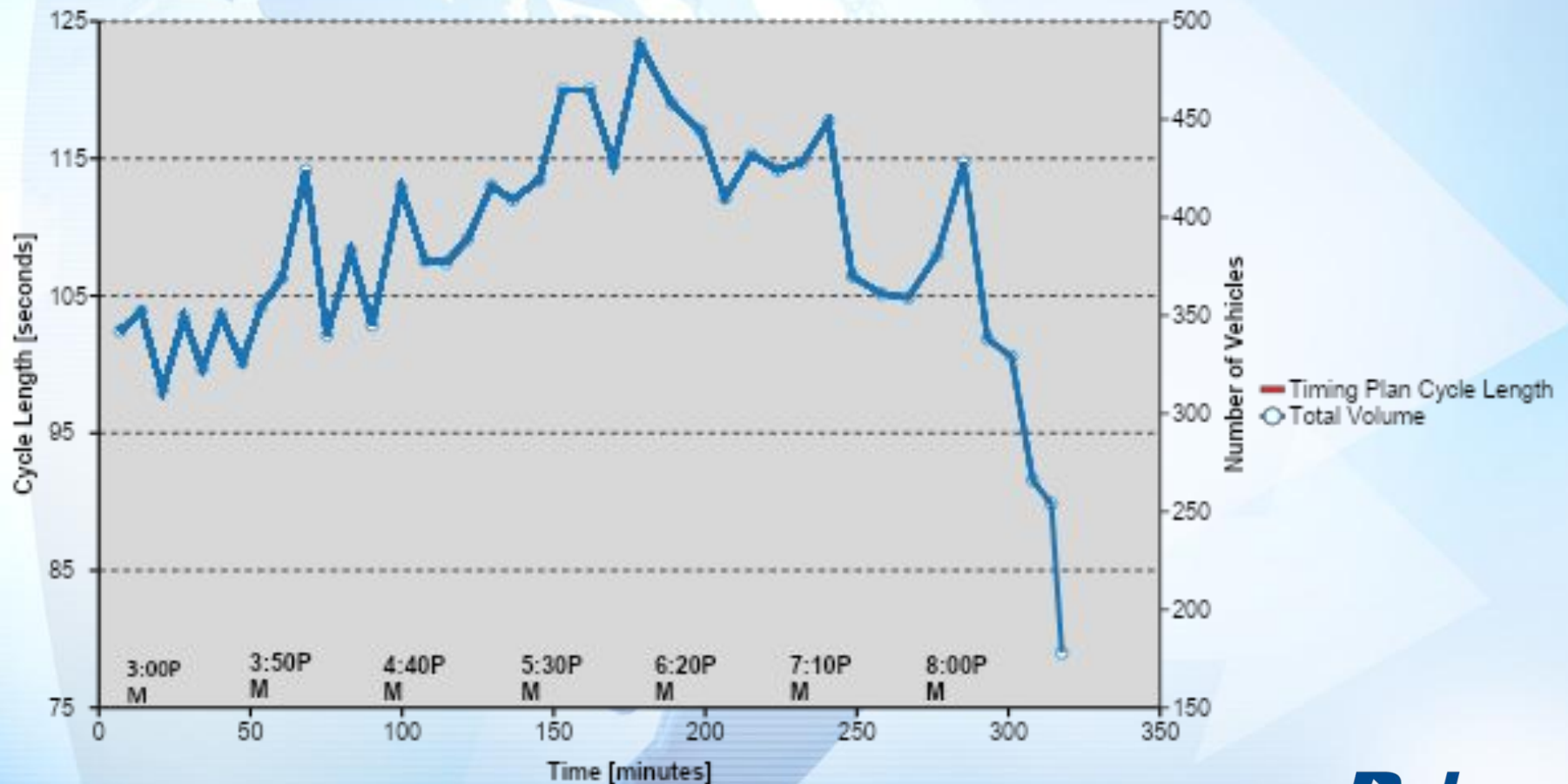
5:34 PM 02/08/2012

Light colors symbolize low degree of saturation values reflecting the adaptive algorithm efficiency

# City of Surrey System – PM Peak



## Total Volumes vs. Cycle Length at 72 Ave. & 122 St. in Surrey BC, on August 2, 2012



# City of Surrey System



- AM And PM Peak Test Conclusions:
  - Adaptive control efficiently managed the traffic in
    - Normal conditions (AM peak interval)
    - Exceptional conditions (PM peak interval + Ramadan event)
  - Adaptive control extended cycle lengths and phase splits ensuring
    - Smooth traffic flow
    - No residual queues at end of cycles under heavy traffic conditions

# Key Benefits of Delcan MAC System



- Smooth integration with existing legacy systems
- Management of oversaturated and gridlocked traffic (as well as heavy traffic)
- Multi-protocol interface and ability to work with multiple controller manufacturers/types
- Flexible (and minimal) detector requirements
- Low data transmission requirements (and hence low communications cost ~ 70% reduction)
- Robust and highly efficient communications scheme – supports a variety of wireless technologies



# Questions and Answers

**For further information, please  
contact:**

**Joseph Lam**  
**[j.lam@delcan.com](mailto:j.lam@delcan.com)**





**THANK YOU**

Visit our website!



[www.delcan.com](http://www.delcan.com)

**Delcan**